

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231  
on October 30, 2001  
By: [Signature]  
Printed: Lyza Fimular

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hillman et al.

Title: HUMAN TRANSCRIPTIONAL REGULATOR MOLECULES

Serial No.: 09/674,743

Filing Date: To Be Assigned

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL FEE SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard;
2. Response to Notification of Missing Requirements (2 pp.);
3. Copy of Notification of Missing Requirements Under 35 U.S.C. § 371 dated April 30, 2001 (1 pg.);
4. Executed Declaration and Power of Attorney for United States Patent Application (6 pp.);
5. Request to Transfer (1 pg.);
6. Copy of Notification to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 30, 2001 (1 pg.); and
7. Petition for Extension of Time (4 months) (1 pg.).

The fee has been calculated as shown below.

Basic surcharge fee for filing the Declaration under 37 CFR 1.492(e)	\$ <u>130.00</u>
Fee for Petition for Extension of Time under 37 CFR 1.17(c)	\$ <u>1,440.00</u>
<b>Total fees charged to Deposit Account No. 09-0108:</b>	<b>\$ <u>1,570.00</u></b>

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. **A duplicate copy of this sheet is enclosed.**

01/23/2002 MNGUYEN 00000115 090108 09674743

02 FC:154 130.00 CH

Date: 30 October 2001

Respectfully submitted,

INCYTE GENOMICS, INC.

[Signature]

Diana Hamlet-Cox

Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

3160 Porter Drive  
Palo Alto, California 94304  
Phone: (650) 855-0555  
Fax: (650) 849-8886

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By: [Signature]

Printed name: Lyza Finuliar

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Hillman et al.**

Title: **HUMAN TRANSCRIPTIONAL REGULATOR MOLECULES**

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Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. § 371**

Sir:

In response to the "Notice to File Missing Requirements," and the "Notification to Comply with Requirements," (copies attached) mailed by the United States Patent and Trademark Office on **April 30, 2001**, Applicants submit the documents listed below to complete the filing for the above-identified patent application. The period of time for response has been extended until October 30, 2001, by the accompanying Petition and fee.

1. **Executed** Declaration and Power of Attorney for United States Patent Application  
(6 pp.);
2. Request to Transfer (1 pg.); and
3. Petition for Extension of Time (4 months) (1 pg.).

Please charge the following fees to **Deposit Account No. 09-0108**:

Basic surcharge fee for filing the declaration under 37 CFR 1.492(e) \$ 130.00

Fee for Petition for Extension of Time under 37 CFR 1.17(c) \$ 1,440.00

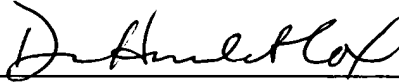
Total fees charged to Deposit Account No. 09-0108: \$ 1,570.00

If the Patent Office determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) **Deposit Account No. 09-0108**.

If there are any questions regarding the above, the Examiner is invited to call the undersigned at 650-855-0555.

Date: 30 Oct 2001

Respectfully submitted,  
INCYTE GENOMICS, INC.



Diana Hamlet-Cox

Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

3160 Porter Drive  
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Packet No.: PF-0509 USN

Rec'd PCT/PTO 16 JAN 2002

By: [Signature]

Printed name: Lyza Finuliar

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Hillman et al.**

Title: **HUMAN TRANSCRIPTIONAL REGULATOR MOLECULES**

Serial No.: 09/674,743

Filing Date: To Be Assigned

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

Box PCT

Commissioner for Patents  
Washington, D.C. 20231

NIP

REQUEST TO TRANSFER

Sir:

This paper is responsive to the Notification of a Defective Response (copy attached) which was mailed on April 30, 2001. The period of time for response has been extended until October 30, 2001, by the accompanying Petition and fee.

With regard to the requirement of 37 CFR 1.821(e) which requires that a copy of the Sequence Listing in computer readable form (CRF) be submitted, Applicants state that the paper copy of the Sequence Listing for the instant application is identical with the computer readable form filed with PCT Application No. ~~PCT/US99/09935~~ filed May 4, 1999 in the US/RO to which priority is claimed. In accordance with 37 C.F.R. §1.821(e), please use the computer readable form filed with PCT Application No. ~~PCT/US99/09935~~ as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant divisional application.

Applicants believe that no fee is due with this communication. However, if the USPTO determines that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 09-0108.

Respectfully submitted,

INCYTE GENOMICS, INC.

[Signature]

Diana Hamlet-Cox

Reg. No. 33,302

Direct Dial Telephone: (650)845-4639

Parent SN PCT/US99/09935 does not have a valid CRF.

Date: 30 Oct 2001

3160 Porter Drive  
Palo Alto, California, 94304  
Tel. No. 650-855-0555  
Fax. No. 650-849-8886

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/674743	HILIMAN	J PF-0509 US
INTERNATIONAL APPLICATION NO.		
PCT/US99/09935		
I.A. FILING DATE	PRIORITY DATE	
04 MAY 99	05 MAY 98	

INCYTE GENOMICS  
3160 PORTER DRIVE  
PALO ALTO, CA 94304

DATE MAILED:

30 APR 2001

**NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825.
- ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- ☐ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(e).
- ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ Other: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- ☐ An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE  
CALL:

(703) 308-4216, for Rules interpretation,  
(703) 308-4212, for CRF submission help,  
(703) 287-0200, for PatentIn software help.

Paulette Kidwell, Paralegal  
Telephone: 703-305-3656